

## Registered Member Application

Society for Mining, Metallurgy, and Exploration, Inc.
12999 E. Adam Aircraft Circle Englewood, CO 80112
(303) 948-4200 (800) 763-3132 Fax: (303) 948-4265 Email: membership@smenet.org

AM APPLYING FOR (check one):					
☐ Admission		Last Name:			
☐ Change of Status	☐ Approved Do NOT Write In This Space			Space	
☐ Reinstatement +	paper and	A FOR OFF		,	
If Reinstatement:		↑ FUR UFF	ICE USE ONLY 🛕		
Last Year of Active Membership: Year of Election:					
If you are a current SME Member,	Prefix: L. Mr. L.	Mrs. ☐ Ms. ☐ Dr.	☐ Male ☐ Female		
please provide your member number:	Please Print:				
REGISTERED MEMBER DUES:	Name:		F:	Middle Initial	
\$295 per year, US			Filst		
Member Fee: \$20 (for new members or members who	Present Position Titl	٠.			
have let their membership lapse for more than 6 months)	Fmail Address	Present Position Title: Birth Date:			
Fee Breakdown:					
\$169 Dues	Business Mailing Addres				
+ \$126 Registered Member Fee	City:		State/Provinc	Δ'	
= \$295	Country		Zip/PC:		
THE FOLLOWING IS REQUIRED TO PROCESS YOUR APPLICATION	N: Phone:		Fax <sup>.</sup>		
<ul><li>✓ Completed Application</li><li>✓ Payment</li></ul>					
✓ Copy of Diploma and Transcripts (official transcripts required)	Home Mailing Address				
✓ Complete Curriculum Vitae/Resume (include dates)	City:		State/Provinc	ρ,	
✓ Personal Statement	Country:		Zip/PC:	o	
And either:			Σιβ/1 Ο		
✓ Copy of valid, CURRENT license or certification OR		Idress: 🗆 Home 🗆 E			
✓ Three completed verification forms from Registered Members	Freieneu Mailing Au	iuless. Li noille Li c	00511625		
REGISTERED MEMBER QUALIFICATIONS:  A Registered Member is a scientist, engineer or technologist who is involved with peoscientists, mining engineers and metallurgists, environmental engineers, at a person eligible for election or transfer into the class of Registered Membra a minimum of seven (7) years of professional experience, of which at least significant participation and decision making relevant to their respective area industry standards.	and other scientists, engineers er shall have 1) a university d t three 3) must have been in a of competency and that the ap	and technologists.  egree from a U.S. accredite position of responsibility, o plicant is capable of scoping	ed university or a recognized defined as one in which the in g, supervising and checking re	international university, and 2 dividual was depended on fo elevant work to ensure it meets	
University degree must be in fields related to the discovery, extraction and util copy of transcripts that confirms graduation.	lization of minerals, metals and	energy sources. Applicants	s must submit a copy of their s	signed University Degree and a	
Professional experience must be in the mineral and extractive industri ndustries and members are understood to have experience and worke			onal or commercial organiz	ations concerned with those	
Every Registered Member shall observe and be bound by the SME Code of Et	thics as published by SME.				
Any alleged breach of this code or any alleged unprofessional conduct by a Rewith in accordance with the procedures.	egistered Member which may b	e brought before the Ethics	Committee shall be investigat	ed and, if proved, shall be deal	
SME and its Agents are not responsible for work performed on behalf of a	Registered Member. See the	Registered Member Code o	of Ethics and Disciplinary Pro	cedure for more information.	
Applicants must demonstrate that they satisfy at least ONE OF THE FOLLOW					
REGISTERED MEMBER CONDITIONS  Licensed or Certified in a state of the United States that is a member of the Certified by the American Institute of Professional Geologists (AIPG) as a C  Professional Engineer (PEng) licensed or certified  in a state of the Unite Professional Geologist (PGeo) in a province in Canada OR  You may apply if you do not meet the above criteria but you will need to professional Geologist (PGeo) in a province in Canada OR	ertified Professional Geologist. ed States or □ in a province in evide three Registered Membe	Canada verifiers.			

REGISTERED MEMBER SME CODE OF ETHICS

(Interpretation of the Code of Ethics along with Admission, Resignation and Disciplinary Procedures can be obtained from SME or at www.smenet.org)

- 1. The responsibility of members for the welfare, health and safety of the community shall at all times come before their responsibility to the profession, to sectional or private interests, or to other members.
- 2. Members shall act so as to uphold and enhance the honor, integrity and dignity of the profession.
- 3. Members shall perform work only in their areas of competence.
- 4. Members shall build their professional reputation on merit and shall not compete unfairly.
- 5. Members shall apply their skill and knowledge in the interests of their employer or client for whom they shall act, in professional matters, as faithful agents or trustees.
- 6. Members shall give evidence, express opinions or make statements in an objective and truthful manner and on the basis of adequate knowledge.
- 7. Members shall continue their professional development throughout their careers and shall actively assist and encourage those under their direction to advance their knowledge and experience.
- 8. Members shall comply with all laws and government regulations relating to the mineral industries, and with the rules, regulations and practices as established and promulgated by the U.S. Securities and Exchange Commission with respect to the official listing requirements for mining and other companies.

## **SIGNATURE**

I declare, by signing this application, that all statements made herein and on any appended sheets are true and accurate to the best of my knowledge, information, and belief. I shall abide by the Charter, Bylaws and Code of Ethics of the Society for Mining, Metallurgy, and Exploration Inc., and that I subscribe to and will abide by them and all provisions in them as now in effect or hereafter amended and that any untrue or incorrect statement knowingly made by me in this application or my failure to abide by the Charter, Bylaws and Code of Ethics, shall be grounds for my suspension or expulsion from the Society as may be determined and directed by the Ethics Committee.

I affirm adherence to applicable professional and ethical standards, have not had a certification, license, or similar qualification suspended or terminated for ethical or disciplinary reasons during my career, nor have I resigned for such designation in participation of or in settlement of proposed grievance or disciplinary proceedings.

I grant SME permission to contact listed employers, endorsers, and others who may provide information concerning my qualification for membership, and to divulge information contained in the application, or obtained in SME's review, of my qualification, which is necessary for verification of my qualifications.

If elected, I agree to abide by the bylaws and rules of the Society for Mining, Metallurgy, and Exploration, Inc. as the same are now in effect and as they may hereafter be modified while I am a member. I understand that under certain laws and regulations, SME must have my permission in order to communicate with me via fax or email. I hereby give SME Foundation, SME Local Sections and SME business associations permission to send me information and advertisements. If SME does NOT have your permission to communicate with you via fax or email, you may not have access to some of your electronic member benefits.

Signature:	ture: Date:					
SME Membership was recommended to me by:						
VERIFIERS MUST BE S EACH VERIFIER MUST VERIFIERS CANNOT B VERIFIERS' SELECTION  Please note it is the Applica	IN (If you are providing a license or certification of the REGISTERED MEMBERS.  HAVE WORKED WITH THE APPLICANT FOR A MINIME THOSE YOU SUPERVISE OR WHERE YOU ARE/WERN OF YOUR COMPETENCY AREAS ON THIS FORM SHOULD IN the Supervision of the Verification for the Properties of the Verification for the Verification of the Verification for the Verification of the Verifica	IUM OF 1 YEAR, NO MORE THAN 10 YE E THE CLIENT. HOULD MATCH YOURS. Im and to follow up with their endorsers	EARS PRIOR TO 2020.	ve as a character reference, they must		
First Name:	Last Name:	Phone:	Email:			
First Name:	Last Name:	Phone:	Email:			
First Name:	Last Name:	Phone:	Email:			
RECORD OF EXPERIEN	Certificate/Li  CE  ployment. Please focus on employment related to the ording dates and your primary duties for listed publicle:  Nature of employer's business:  Primary responsibilities:	ne minerals industry. You must also sub projects. Position of Responsibility indica Employer: Employer:	mit a complete Curriculum Vitae. You ates you were involved in significar	our CV must include an employer t decision making.		
the industry.  Name of School:  Degree Earned:  Name of School:	ees you earned, include your major area of study	Date of Graduation or Expecte Major: Date of Graduation or Expecte	d Date of Graduation:d			

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PERSONAL STATEMENT  Please provide your personal statement in 50 – 100 words, for the reasons you are pursuing you intend to sign.	g Registered Member Status. If you intend to sign off reports, please indicate which section(s)		
AREAS OF COMPETENCY  CHECK THE AREAS WHICH YOU CONSIDER YOURSELF TO BE AN EXPERT. Your selection must be supported in your CV. Please note the most tenured Professionals select only 1 – 2 areas. Verifiers' selection should match yours.  Geology Resource Modeling Geotechnical Evaluation Engineering, Mine Design, Infrastructure Metallurgy and Processing Environmental	OPTIONAL SUBSCRIPTION  Members automatically receive FREE online access to the SME journal, Mining, Metallurgy & Exploration (MME), through Springer Link (a \$139/year value).  A print subscription to MME is also available to members at a discounted rate of \$129/year. Send an email to subscriptions@springernature.com to subscribe.		
☐ Market and Financial Analysis ☐ Valuation ☐ Other (please specify) ☐ DIVISIONAL/TECHNICAL INTEREST Please indicate, in order of preference (1, 2, 3), a minimum of one and no more than three, Division/Technical Interest Categories.	THE FOLLOWING IS REQUIRED to process your application:  ✓ Completed Application  ✓ Payment  ✓ Copy of Diploma and Transcripts  ✓ Complete Curriculum Vitae/ Resume  ✓ Personal Statement  And either:  ✓ Copy of valid, CURRENT license or certification on  ✓ Three completed verification forms from Registered Members		
Divisions: Technical Interest Committees:  Coal & Energy (F) Bulk Material Handling (M)  Environmental (E) Education (J)  Health & Safety (S)  Industrial Minerals & Aggregates (H)  Mining & Exploration (Metallics) (A)  Mineral & Metallurgical Processing (B)  Underground Construction Association (U)	PAYMENT  DUES ARE PAYABLE ON A CALENDAR YEAR BASIS.  PAYMENT MUST ACCOMPANY APPLICATION.  Please charge the following credit card:  American Express Discover  MasterCard Visa  or Check Enclosed Check #		
INDUSTRY SECTOR  Coal Mining (1B)	Card Number:		
JOB TITLE  Consultant (C)	Dues Payable in U.S. Dollars Only  Please note that if you are already a current member of SME you will only be responsible for the \$124.00 Registered Member fee, we will not charge your card until after your application is approved.		

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