

Registered Member Application

Society for Mining, Metallurgy, and Exploration, Inc. 12999 E. Adam Aircraft Circle Englewood, C0 80112 (303) 948-4200 (800) 763-3132 Fax: (303) 948-4265 Email: membership@smenet.org

M APPLYING FOR (check one): Admission	Last Name:		
☐ Change of Status	☐ Approved	Do NOT Write In This Space	
	♦ FOR OFFICE USE ONLY ♦		
\$335 per year, US Member Fee: \$20 (for new members or members who have let their membership lapse for more than 6 months) Fee Breakdown: \$192 Dues + \$143 Registered Member Fee = \$335 Please note that if you are already a current member of SME you will only be responsible for the \$143.00 Registered Member fee. Payment options for all applicants will be provided upon acceptance of your Registered Member application.	Present Position Title: Email Address: Business Mailing Address Street or PO Box:	First Middle In Birth Date:	
E FOLLOWING IS REQUIRED TO PROCESS YOUR APPLICATION: Completed Application Copy of Diploma and Transcripts (official transcripts required) Complete Curriculum Vitae/Resume (include dates) Personal Statement And either: Copy of valid, CURRENT license or certification OR	Country:	State/Province: Zip/PC: Fax:State/Province: Zip/PC:	
Three completed verification forms from Registered Members	Preferred Mailing Address:		

a minimum of seven (7) years of professional experience, of which at least three 3) must have been in a position of responsibility, defined as one in which the individual was depended on for significant participation and decision making and that the applicant is capable of assessing, supervising and checking relevant work to ensure it meets industry standards.

University degree must be in fields related to the discovery, extraction and utilization of minerals, metals and energy sources. Applicants must submit a copy of their signed University Degree and a copy of transcripts that confirms graduation.

Professional experience must be in the mineral and extractive industries, or in government, educational, research, professional or commercial organizations concerned with those industries and members are understood to have experience and worked in areas related to reserves and resources.

Every Registered Member shall observe and be bound by the SME Code of Ethics as published by SME.

Any alleged breach of this code or any alleged unprofessional conduct by a Registered Member that may be brought before the Ethics Committee shall be investigated and, if proved, shall be dealt with in accordance with the procedures.

SME and its Agents are not responsible for work performed on behalf of a Registered Member. See the Registered Member Code of Ethics and Disciplinary Procedure for more information.

Applicants must demonstrate that they satisfy at least ONE OF THE FOLLOWING: (and must provide proof of current License/Certification)

REGISTERED MEMBER CONDITIONS

- ☐ Licensed or Certified in a state of the United States that is a member of the National Association of State Boards of Geology (ASBOG).
- ☐ Certified by the American Institute of Professional Geologists (AIPG) as a Certified Professional Geologist.
- ☐ Professional Engineer (PE/PEng) licensed or certified ☐ in a state of the United States or ☐ in a province in Canada
- ☐ Professional Geologist (PGeo) in a province in Canada OR
- ☐ You may apply if you do not meet the above criteria but you will need to provide three Registered Member verifiers.

(Those endorsing you must complete an individual Verification Form and must have first-hand working knowledge of the applicant. The verifiers should be familiar with the applicants work for a minimum of 6 months. Verifiers must be SME Registered Members.) If you cannot locate 3 verifiers, please contact membership@smenet.org for possible alternatives.

REGISTERED MEMBER SME CODE OF ETHICS

(Interpretation of the Code of Ethics along with Admission, Resignation and Disciplinary Procedures can be obtained from SME or at www.smenet.org)

- 1. The responsibility of members for the welfare, health and safety of the community shall at all times come before their responsibility to the profession, to sectional or private interests, or to
- 2. Members shall act so as to uphold and enhance the honor, integrity and dignity of the profession.
- 3. Members shall perform work only in their areas of competence.
- 4. Members shall build their professional reputation on merit and shall not compete unfairly.
- 5. Members shall apply their skill and knowledge in the interests of their employer or client for whom they shall act, in professional matters, as faithful agents or trustees.
- 6. Members acting as an expert witness before a court, arbitrator or other tribunal shall give evidence, express opinions or make statements in an honest, and truthful manner and on the basis of the expert's knowledge and all information available to the expert.
- 7. Members shall continue their professional development throughout their careers and shall actively assist and encourage those under their direction to advance their knowledge and experience.
- 8. Members shall comply with all laws and government regulations relating to the mineral industries, and with the rules, regulations and practices as established and promulgated by the U.S. Securities and Exchange Commission with respect to the official listing requirements for mining and other companies.

SIGNATURE

I declare, by signing this application, that all statements made herein and on any appended sheets are true and accurate to the best of my knowledge, information, and belief. I shall abide by the Charter, Bylaws and Code of Ethics of the Society for Mining, Metallurgy, and Exploration Inc., and that I subscribe to and will abide by them and all provisions in them as now in effect or hereafter amended and that any untrue or incorrect statement knowingly made by me in this application or my failure to abide by the Charter, Bylaws and Code of Ethics, shall be grounds for my suspension or expulsion from the Society as may be determined and directed by the Ethics Committee.

I affirm adherence to applicable professional and ethical standards, have not had a certification, license, or similar qualification suspended or terminated for ethical or disciplinary reasons during my career, nor have I resigned for such designation in participation of or in settlement of proposed grievance or disciplinary proceedings.

I grant SME permission to contact listed employers, endorsers, and others who may provide information concerning my qualification for membership, and to divulge information contained in the application, or obtained in SME's review, of my qualification, which is necessary for verification of my qualifications.

If elected, I agree to abide by the bylaws and rules of the Society for Mining, Metallurgy, and Exploration, Inc. as the same are now in effect and as they may hereafter be modified while I am

a member. I understand that under certain laws and regulations, SME must have my permission in order to communicate with me via fax or email, I hereby give SME Foundation, SME Local Sections and SME business associations permission to send me information and advertisements. If SME does NOT have your permission to communicate with you via fax or email, you may nt have access to come of your electronic member benefits SME Membership was recommended to me by: VERIFIER INFORMATION (If you are providing a license or certification you do NOT need to complete this portion.) · VERIFIERS MUST BE SME REGISTERED MEMBERS. · EACH VERIFIER MUST HAVE WORKED WITH THE APPLICANT FOR A MINIMUM OF 1 YEAR, NO MORE THAN 10 YEARS PRIOR TO 2020. · VERIFIERS CANNOT BE THOSE YOU SUPERVISE OR WHERE YOU ARE/WERE THE CLIENT. Please note it is the Applicant's responsibility to initially send the verification form and to follow up with their endorsers as needed. Verifiers are not to serve as a character reference, they must have first-hand working experience with you. Ideally your verifiers are not all from the same company. First Name: Last Name: Phone: Email: Last Name: _____ Phone: _____ Email: ____ First Name: _____Last Name: _______ Phone: ______ Email: _____ First Name: ____ **CERTIFICATE OR LICENSE INFORMATION** Certificate/License Type: _____ Certificate/License Number: State/Province Issued: **RECORD OF EXPERIENCE** Include a brief record of employment. Please focus on employment related to the minerals industry. You must also submit a complete Curriculum Vitae. Your CV must include an employer chronology and beginning and ending dates and your primary duties for listed projects. Position of Responsibility indicates you were involved in significant decision making. **Dates Employed** Position of Responsibility Nature of employer's business:____ From: ______ ☐ Yes To: _____ Primary responsibilities: □ No Dates Employed Position of Responsibility Employer: Nature of employer's business:____ ☐ Yes To: ___ Primary responsibilities: □ No **EDUCATION** List the schools and degrees you earned, include your major area of study and date of graduation. You must also submit a copy of your diploma and transcripts as related to the industry. Name of School: ____ _____ Date of Graduation or Expected Date of Graduation: ____ ___ Major: __ Degree Earned: ___ ______ Date of Graduation or Expected Date of Graduation: Name of School: ____ Degree Earned: Major:

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PERSONAL STATEMENT Please provide your personal statement in 50 – 100 words, for the reasons you are pursuing Registered Member Status. Be specific on how you anticipate using your Registered Member status if approved.		
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AREAS OF INTEREST Please check the appropriate boxes for your primary areas of interest. Your selection should be supported by your CV. Please note that most professionals select 1–3 areas of primary interest. Economic Analysis Metallurgy Engineering Mine Design Environmental Mineral Appraisal (valuation of mineral properties, requires CMA license) Geotechnical Evaluation Permitting	OPTIONAL SUBSCRIPTION Members automatically receive FREE online access to the SME journal, Mining, Metallurgy & Exploration (MME), through Springer Link (a \$139/year value). A print subscription to MME is also available to members at a discounted rate of \$129/year. Send an email to subscriptions@springernature.com to subscribe.	
☐ Markets and Commodities ☐ Social	 ✓ Completed Application ✓ Copy of Diploma and Transcripts ✓ Copy of valid, CURRENT license 	
☐ Other (please specify)	 ✓ Complete Curriculum Vitae/ Resume ✓ Personal Statement or certification or ✓ Three completed verification forms from Registered Members 	
DIVISIONAL/TECHNICAL INTEREST Please indicate, in order of preference (1, 2, 3), a minimum of one and no more than three, Division/Technical Interest Categories.		
Divisions: Technical Interest Committees:		
Coal & Energy (F) Bulk Material Handling (M)	JOB TITLE	
Environmental (E) Education (J)	☐ Consultant (C) ☐ Mine/Plant Manager (N)	
Health & Safety (S)	☐ Educator (D) ☐ Owner (O)	
Industrial Minerals & Aggregates (H)	☐ Engineer (E) ☐ President/CEO/COO (P) ☐ GM/Vice President (G) ☐ Purchasing Agent (R)	
Mining & Exploration (Metallics) (A) Mineral & Metallurgical Processing (B)	☐ Geologist (H) ☐ Scientist/Researcher (S)	
Underground Construction Association (U)	☐ Marketing/Sales (M)	
INDUSTRY SECTOR		
☐ Coal Mining (1B) ☐ Industrial Minerals Processing (1D) ☐ Coal Processing (6) ☐ Manufacturing (5)		
☐ Economics (4) ☐ Marine Mining (13)		
☐ Education (3) ☐ Metallurgy (9) ☐ Environmental (7) ☐ Metals Mining (1A)		
☐ Geology/Exploration (8) ☐ Metals Processing (10)		
☐ Government (2) ☐ Underground Construction/Tunneling (11) ☐ Industrial Minerals Mining (1C)		

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